

ARRA Energy Efficiency and Conservation Block Grant

Municipal Strategic Planning Application



State of Rhode Island
Office of Energy Resources

Funding Opportunity Announcement
RIOER-002

**State of Rhode Island
Office of Energy Resources**

Energy Efficiency and Conservation Block Grants – Strategic Plans

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This Application Package is composed of 3 parts. The first part (pp. 2-5) describes this funding opportunity. The second part (pp. 6-8) provides a narrative explaining how to fill out the application. The third part (pp. 9-11) is the application.

**State of Rhode Island
Office of Energy Resources**

**Energy Efficiency and Conservation Block Grant Strategic Plan
Funding Opportunity Description**

The Rhode Island Office of Energy Resources (RIOER) is soliciting applications from the 39 Rhode Island cities and towns for funding to complete municipal energy strategic plans.

Funding Source

Funding for this program is available through a grant to Rhode Island from the federal Department of Energy's American Recovery and Reinvestment Act (ARRA) Energy Efficiency and Conservation Block Grant (EECBG). Information on the State Energy Program can be found at <http://www.eecbg.energy.gov/>. As this is an ARRA (stimulus) funded program, all applicable provisions and limitations on funds set forth in PL 111-05 shall be enforced. Further, this funding opportunity announcement is governed by the "Rules and Regulations for the Energy Efficiency and Conservation Block Grant Program," a Rhode Island regulation with the ERLID Number of 5927. This document can be found at:

<http://sos.ri.gov/documents/archives/regdocs/released/pdf/DOA/5927.pdf>.

Funding Purposes

The purpose of this funding is to create a municipal strategic plan. The purposes of the plan, pursuant to regulation 4.06, are to:

- (a) Prioritize energy efficiency and conservation as the cheapest, cleanest and fastest ways to meet energy demand.
- (b) Maximize benefits over the longest possible term, including linking energy efficiency and conservation investments with community economic development, community stabilization and poverty reduction efforts.
- (c) Invest in programs and projects that create and/or retain jobs and stimulate the economy while meeting long term energy goals.
- (d) Target programs and projects that will provide substantial, sustainable and measurable energy savings, job creation and economic stimulus effects.
- (e) Give priority to programs and projects that leverage funds with other public and private resources.
- (f) Develop, to the extent possible, programs and strategies that will continue beyond the funding period.

An energy efficiency and conservation strategy accepted by the US Department of Energy for EECBG funding to a municipality shall, upon the request of the municipality, be considered the municipality's strategic plan by the Office.

Eligible Entities

Only the Chief Executive Official of a Rhode Island city or town may be an applicant pursuant to regulation 5.05.

Funding Availability

Pursuant to regulation 5.06.01, the following are the maximum amounts available to each city and town under this solicitation:

Barrington	\$16,448.98	Foster	\$5,000.00
Bristol	\$21,974.68	Glocester	\$9,729.14
Burrillville	\$15,448.49	Hopkinton	\$7,663.61
Central Falls	\$18,511.58	Jamestown	\$5,498.32
Charlestown	\$7,686.10	Johnston	\$27,574.71
Coventry	\$19,527.30	Lincoln	\$20,438.24
Cranston	\$5,000.00	Little Compton	\$5,000.00
Cumberland	\$17,329.52	Middletown	\$16,952.65
East Greenwich	\$12,663.14	Narragansett	\$16,001.06
East Providence	\$26,876.86	New Shoreham	\$5,000.00
Exeter	\$5,912.01	Newport	\$25,892.55
North Kingstown	\$25,746.83	South Kingstown	\$27,306.74
North Providence	\$18,507.96	Tiverton	\$14,924.28
North Smithfield	\$10,384.40	Warren	\$11,110.08
Pawtucket-	\$5,302.92	Warwick	\$4,002.24
Portsmouth	\$16,771.72	West Greenwich	\$5,000.00
Providence	\$0.00	West Warwick	\$17,090.22
Richmond	\$7,063.12	Westerly	\$22,460.75
Scituate	\$10,096.87	Woonsocket-	\$24,133.07
Smithfield	\$20,159.51		

Ineligible Projects and Costs

Projects and costs that are ineligible under the Recovery Act EECBG shall be ineligible for support from the Program and will include but not be limited to:

- (1) Projects that are wholly funded from Federal, State, RI Economic Development Corporation (hereafter referred to as Corporation) sources or by utility administered programs and projects that have, as of December 11, 2009, a contract to be funded or partially funded by Corporation programs, or any utility funded program;
- (2) Projects to be completed for casinos or other gambling establishments, aquariums, zoos, golf courses, or for swimming pools;
- (3) Outdoor and low-efficiency wood boilers. Low-efficiency wood boilers shall be defined as any boiler that does not meet or exceed a minimum thermal efficiency of 83 as measured by testing thermal efficiency using either the EN 303-5 or ASHRAE 155P methods, and using the lower heating value of wood. Wood boilers that use a fuel source other than wood pellets, wood chips, or firewood are not eligible under this announcement.
- (4) Projects that are part of construction of new facilities and new construction commissioning (Note, however, that the renovation or rehabilitation of existing facilities to a degree that triggers Building Code requirements for new construction will not be considered new construction for the purposes of determining eligibility for support for the program);
- (5) Power quality, power factor, and power conditioning improvements;
- (6) Passive solar projects;
- (7) Projects that require the preparation of a Federal Environmental Impact Statement; only projects that are eligible for categorical exclusions or environmental assessments/finding of no significant impact shall be eligible;
- (8) Projects that would be ineligible under applicable Recovery Act provisions, regulations, or guidance.
- (9) Projects that lack assurances that they will be installed correctly and safely.

Compliance with the Recovery Act

All persons and municipalities receiving funding from or through the Program shall comply with applicable Recovery Act requirements and guidance including using the Federal Assistance Reporting Checklist and Guidelines attached to Regulation ERLID 5927 as **Appendix B**. No person or municipality shall receive funding for a project that is ineligible under the Recovery Act-EECBG Program.

Duration of Awards

The Program shall commence upon the January 13, 2010 and shall conclude for the purposes of developing and supporting projects on March 31, 2012, however, project performance monitoring and reporting requirements shall continue as

required by the Recovery Act. With the exception of performance monitoring and reporting requirements, all projects funded by this Program must be completed on or before March 31, 2012.

Application Due Date

Applications for strategic plans are due on or before **January 30, 2010**.

Coordination with Other Programs

This Program shall be coordinated with other energy efficiency and renewable energy programs in Rhode Island, including but not limited to the Renewable Energy Development Fund, in order to optimize the benefits of renewable energy development in the State to accomplish the purposes set forth in subsection 4.01 and consistent with the applicable Recovery Act requirements.

Superseding Regulations

This funding opportunity announcement is governed by the “Rules and Regulations for Non-Utility Scale Energy Projects,” a Rhode Island regulation with the ERLID Number of 5927, which supersedes any language found in the announcement. This regulation was posted with the Rhode Island Office of the Secretary of State on December 11, 2009.

For a copy of this regulation, please see:

<http://sos.ri.gov/documents/archives/regdocs/released/pdf/DOA/5927.pdf>.

**State of Rhode Island
Office of Energy Resources**

**Energy Efficiency and Conservation Block Grant Strategic Plan
Funding Opportunity Instructions**

Section I

Applicant Information

The purpose of this section is to gather contact information of the Chief Executive Official applying for funds (hereafter referred to as applicant). In cases of joint applications, please select one Chief Executive Official for this section and list the rest in field number 19 below.

- 1. Municipal Applicant** - The name of the city or town applying for funding.
- 2. Chief Exec. Official** – The name of the Chief Executive Official of the city or town applying for funding: the applicant.
- 3. Title** – The title of the applicant.
- 4. Address** – The mailing address of the applicant. The mailing address may be the street number and street name of the applicant, or a Post Office Box.
- 5. City/Town** – The city/town applying for strategic planning funds.
- 6. State** – The state corresponding to the mailing address of the applicant.
- 7. Zip Code** – The 5-digit Zip Code corresponding to the mailing address of the applicant.
- 8. Phone** – The primary phone number of the applicant to be used during business hours.
- 9. Fax** – The primary fax number of the applicant to be used during business hours.
- 10. E-Mail** – A valid working e-mail address for the applicant. Official correspondence may be sent by e-mail.

Section II

Planning Administrator Information

The purpose of this section is to collect information on the person primarily responsible for overseeing the planning process of the municipality or municipalities.

- 11. Point of Contact Name** – The name of the person primarily responsible for overseeing the planning process for the municipality or municipalities.
- 12. Planner Address** – The address of the person primarily responsible for overseeing the planning process for the municipality or municipalities.

- 13. Planner City and State**– The city or town and state related to the address in number 12 above.
- 14. Planner Title** – The title of the point of contact / planner named in number 11 above.
- 15. Planner Phone** – The primary business hours phone number of the planner.
- 16. Planner E-Mail** – The e-mail address of the person primarily responsible for overseeing the planning process.

Section III

Planning Application Information

The purpose of this section is to gather information on the planning process of the municipality.

- 17. Funds Requested** – Input the total funds requested for municipal strategic planning. Amounts must be within the limits set forth in the section entitled **Funding Availability** above. Joint applications may combine the maximum funding amounts for each municipality that is party to a joint application.
- 18. Is this Project a Joint Application?** – If the municipal strategic plan is to be done jointly between two or more municipalities, select yes from the drop-down menu. Otherwise, select no.
- 19. Joint Application Partners** – If the municipal strategic plan is to be done jointly between two or more municipalities, please list the partnering municipalities and their respective Chief Executive Officials here. If this is not a joint application, the field may be left blank.
- 20. Relation to Municipal Comprehensive Plan** – Provide any information on how the plan is expected to relate to the municipal comprehensive plan(s) here.
- 21. Estimated job hours** – Enter the estimated number of hours to be worked throughout the course of this project. The estimated number of work hours should be inclusive of all employees that will work on the project, either full or part time.

Section IV

Project Assurances

The purpose of this section is to ensure compliance with applicable state and federal laws. Project assurances shall include:

- (1) Assurance that the project will comply with the planning issues set forth in regulation 4.06

- (2) Assurance that the project will take into account opportunities and conditions specific to each municipality
- (3) Assurance that the project will provide opportunity for public involvement in the planning process through public meetings, workshops, and/or web-based information
- (4) Assurance that the project will comply with applicable requirements of the American Recovery and Reinvestment Act of 2009

By checking the box in the project application, the applicant agrees to provide all assurances listed above as well as to follow the Rules and Regulations for Energy Efficiency and Conservation Block Grants (ERLID Number 5927). The applicant must also print and sign as assurance a physical copy of this application to be delivered to the Rhode Island Office of Energy Resources on or before the **January 30, 2010**.

Section V

Submission Instructions

Applicants are **required** to submit the application in two (2) ways. These are:

- (1) By clicking the submit button on the application package and subsequently sending the email generated by clicking the submit button
- (2) By signing and mailing the physical application to:
 - Office of Energy Resources
 - ATTN: Funding Notice RIOER-002
 - One Capitol Hill
 - Providence, RI 02908

**State of Rhode Island
Office of Energy Resources**

Energy Efficiency and Conservation Block Grant Strategic Planning Application

Section I: Applicant Information

- 1. Municipal Applicant.....**
- 2. Chief Exec. Official.....**
- 3. Title.....**
- 4. Address.....**
- 5. City/Town.....**
- 6. State.....**
- 7. Zip Code.....**
- 8. Phone.....**
- 9. Fax.....**
- 10. E-Mail.....**

Section II: Planning Administrator Information

- 11. Point of Contact Name.....**
- 12. Planner Address.....**
- 13. Planner City & State.....**
- 14. Planner Title.....**
- 15. Planner Phone.....**
- 16. Planner E-Mail.....**

Section III: Planning Application Information

17. Funds Requested.....

18. Is this Project a Joint Application?.....

19. Joint Application Partners:

20. Relation to Municipal Comprehensive Plan

21. Estimated job hours.....

Section IV: Project Assurances

By checking this box, I agree to the following assurances:

- (1) Assurance that the project will comply with the planning issues set forth in regulation 4.06
- (2) Assurance that the project will take into account opportunities and conditions specific to each municipality
- (3) Assurance that the project will provide opportunity for public involvement in the planning process through public meetings, workshops, and/or web-based information
- (4) Assurance that the project will comply with applicable requirements of the American Recovery and Reinvestment Act of 2009



SIGN HERE

Name

Date